



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/996,522         | 11/27/2001          | Ricky Taira           | 501090.01              |

27076  
DORSEY & WHITNEY LLP  
SUITE 3400  
1420 FIFTH AVENUE  
SEATTLE, WA 98101



CONFIRMATION NO. 3545

## FORMALITIES LETTER



\*OC000000007390401\*

Date Mailed: 01/30/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

04/09/2002 BABRAHA1 00000127 09996522

01 FC:205 65.00 00

*A copy of this notice **MUST** be returned with the reply.*

*M. Dechat*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



#4/Reg for  
Refund  
04-30-02

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Karen Parish, Refund Unit, Accounting Division, Office of Finance, Commissioner of Patents, Washington, DC 20231.

March 25, 2002  
Date

Peter Rebuffoni  
Peter Rebuffoni

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|            |   |                      |                    |
|------------|---|----------------------|--------------------|
| Applicant  | : Rick K. Taira, Ph.D.  | Attorney Docket No.: | 501090.01          |
| Serial No. | : 09/996,522  | Group Art Unit       | : 2644             |
| Filed      | : November 27, 2001   | Examiner             | : Not yet assigned |
| Title      | : METHOD AND SYSTEM FOR CREATING COMPUTER-UNDERSTANDABLE<br>STRUCTURED MEDICAL DATA FROM NATURAL LANGUAGE REPORTS |                      |                    |

### REQUEST FOR REFUND

Attention: Karen Parish  
Refund Unit  
Accounting Division  
Office of Finance  
Commissioner of Patents  
Washington, D.C. 20231

In filing U.S. Application No. 09/996,522 on November 27, 2001, Applicant incorrectly indicated it was a large entity, and, as a result, incorrectly tendered a filing fee of \$1,040. In accordance with applicant's small entity status, the fee should have been \$520:

|                                 |              |
|---------------------------------|--------------|
| Basic Fee                       | \$370        |
| Total Claims (32, 12 extra)     | \$108        |
| Independent Claims (4, 1 extra) | <u>\$ 42</u> |
| TOTAL                           | \$520        |

Applicant respectfully requests that the Patent and Trademark Office refund \$520 of the fee.  
Please credit the refund to Deposit Account No. 50-1266, referencing our Docket No. 501090.01

Respectfully submitted,

DORSEY & WHITNEY LLP

A handwritten signature in black ink, appearing to read 'Frank J. Bozzo', with a long horizontal flourish extending to the right.

Frank J. Bozzo

Registration No. 36,756

FJB/ln

1420 Fifth Avenue, Suite 3400

Seattle, WA 98101

Tel: (206) 903-8800

Fax: (206) 903-8820

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*mp*

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Commissioner of Patents, Washington, DC 20231.

March 25, 2002  
Date

Peter Rebuffoni  
Peter Rebuffoni

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|            |   |                      |                    |
|------------|---|----------------------|--------------------|
| Applicant  | : Rick K. Taira, Ph.D.  | Attorney Docket No.: | 501090.01          |
| Serial No. | : 09/996,522  | Group Art Unit       | : 2644             |
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| Title      | : METHOD AND SYSTEM FOR CREATING COMPUTER-UNDERSTANDABLE<br>STRUCTURED MEDICAL DATA FROM NATURAL LANGUAGE REPORTS |                      |                    |

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner of Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts dated January 30, 2002, please find enclosed a Declaration and Election and Power of Attorney and copy of Notice to File Missing Parts for the above-identified application.

Also enclosed is a supplemental application data sheet.

Enclosed is a check in the amount of \$65 for the requisite fee. The Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit

Account No. 50-1266. A duplicate copy of this response is enclosed.

Respectfully submitted,

DORSEY & WHITNEY LLP



Frank J. Bozzo  
Registration No. 36,756

FJB/ln

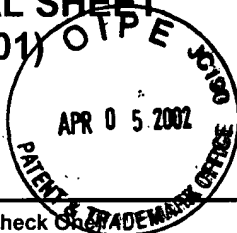
Enclosures:

- Postcard
- Check
- Fee Transmittal Sheet (+ copy)
- Copy of this Response
- Copy of Notice to File Missing Parts
- Application Data Sheet
- Declaration
- Election and Power of Attorney
- Copy of Request for Refund

1420 Fifth Avenue, Suite 3400  
Seattle, WA 98101  
Tel: (206) 903-8800  
Fax: (206) 903-8820

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# FEE TRANSMITTAL SHEET (FOR FY 2001)



## Complete if Known

|                      |                      |
|----------------------|----------------------|
| Application No.      | 09/996,522           |
| Filing Date          | November 27, 2001    |
| First Named Inventor | Rick K. Taira, Ph.D. |
| Group Art Unit       | 2644                 |
| Examiner             | Not yet assigned     |
| Atty. Docket Number  | 501090.01            |

## METHOD OF PAYMENT (Check One)

## FEE CALCULATION (Continued)

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 and 1.136(a)(3) and credit any over payments to Deposit Account No.: 50-1266; Deposit Account Name: DORSEY & WHITNEY LLP

2. ☒ Check Enclosed

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity        |          | Small Entity |          | Fee Description                                 |
|---------------------|----------|--------------|----------|---|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |   |
| 101                 | 740      | 201          | 370      | <input type="checkbox"/> Utility Filing Fee     |
| 106                 | 330      | 206          | 165      | <input type="checkbox"/> Design Filing Fee      |
| 108                 | 740      | 208          | 370      | <input type="checkbox"/> Reissue Filing Fee     |
| 114                 | 160      | 214          | 80       | <input type="checkbox"/> Provisional Filing Fee |
| <b>Subtotal (1)</b> |          |              |          | <b>\$ -0-</b>                                   |

### 2. EXTRA CLAIM FEES

| Current Claims            | Prior | Extra | Fee  | Fee Paid               |
|---------------------------|-------|-------|------|------------------------|
| Total                     | 32    | -     | 32   | = -0- x \$ 18 = \$ -0- |
| Ind.                      | 4     | -     | 4    | = -0- x \$ 84 = \$ -0- |
| Multiple Dependent Claims |       |       | x \$ | = \$                   |
| <b>Subtotal (2)</b>       |       |       |      | <b>\$ -0-</b>          |

| Large Entity |          | Small Entity |          | Fee Description   |
|--------------|----------|--------------|----------|---|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |   |
| 103          | 18       | 203          | 9        | Claims in excess of 20                                  |
| 102          | 84       | 202          | 42       | Independent claims in excess of 3                       |
| 104          | 280      | 204          | 140      | Multiple dependent Claim                                |
| 109          | 80       | 209          | 40       | Reissue independent claims over original patent         |
| 110          | 18       | 210          | 9        | Reissue claims in excess of 20 and over original patent |

### 3. ADDITIONAL FEES

| Large Entity |          | Small Entity |          | Fee Description  | Fee paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 105          | 130      | 205          | 65       | Surcharge - Late filing fee or oath  | \$ 65    |
| 127          | 50       | 227          | 25       | Surcharge - late provisional filing fee or cover sheet                     | \$       |
| 139          | 130      | 139          | 130      | Non-English specification  | \$       |
| 147          | 2,520    | 147          | 2,520    | For Filing a Request for Reexamination                                     | \$       |
| 195          | 300      | 196          | 300      | Publication (early or Republication)                                       | \$       |
| 115          | 110      | 215          | 55       | Extension for reply within first month                                     | \$       |
| 116          | 400      | 216          | 200      | Extension for reply within 2 <sup>nd</sup> month                           | \$       |
| 117          | 920      | 217          | 460      | Extension for reply within 3 <sup>rd</sup> month                           | \$       |
| 118          | 1,440    | 218          | 720      | Extension for reply within 4 <sup>th</sup> month                           | \$       |
| 128          | 1,960    | 280          | 980      | Extension for reply within 5 <sup>th</sup> month                           | \$       |
| 120          | 320      | 220          | 160      | Filing a brief in support of an appeal                                     | \$       |
| 121          | 280      | 270          | 140      | Request for oral hearing   | \$       |
| 148          | 110      | 248          | 55       | Terminal Disclaimer Fee  | \$       |
| 140          | 110      | 240          | 55       | Petition to revive - unavoidable   | \$       |
| 141          | 1,280    | 241          | 640      | Petition to revive - unintentional   | \$       |
| 142          | 1,280    | 242          | 640      | Utility/Reissue issue fee (+ advance copies)                               | \$       |
| 143          | 460      | 243          | 230      | Design issue fee (+ advance copies)  | \$       |
| 122          | 130      | 122          | 130      | Petitions to the Commissioner  | \$       |
| 123          | 50       | 123          | 50       | Petitions related to provisional applications                              | \$       |
| 126          | 180      | 126          | 180      | Submission of IDS  | \$       |
| 581          | 40       | 81           | 40       | Recording each patent assignment per property (times number of properties) | \$       |
| 179          | 740      | 279          | 370      | Request for Continued Examination (RCE)                                    | \$       |

Other fee (specify)

**Subtotal (3) \$ 65**

**Total Amount of Payment: \$ 65**

## Submitted by:

|                      |                  |                           |
|----------------------|------------------|---------------------------|
| Name: Frank J. Bozzo | Reg. No.: 36,756 | Telephone: (206) 903-8800 |
| Signature:           |                  | Date: 3/25/02             |